

Cambridgeshire and Peterborough Integrated Care System

Agenda item:			
Title:	Board Assurance Framework (BAF) Risk Movement Report		
Lead:	Kit Connick, Director of Strategy & Planning		
Author:	Charlie More, Project Manager		
Report purpose <i>(Please mark one in bold)</i>			
APPROVAL	DECISION	ASSURE	INFORM
Committees/groups where this has been presented to before <i>(including date)</i>			
None			
Purpose of the paper			
<p>The purpose of this report is to provide an update to the System Partnership Board on key strategic risks that may affect the delivery of the Integrated Care System (ICS).</p> <p>The Board Assurance Document (BAF) is a live tool that assists the Board to undertake its strategic risk management duties by providing a simple yet comprehensive means by which to effectively manage the principal risks to meeting the strategic objectives.</p> <p>The BAF is the key source of evidence that links strategic objectives to risks and assurances, and a tool that the Board uses in discharging its overall responsibility for control.</p>			
Recommendation			
<p>The Board is asked to:</p> <ol style="list-style-type: none"> 1. Consider: whether the format of the BAF and summary paper meets the needs of the Board and will provide sufficient oversight, scrutiny and assurance in its current format. 2. Review the current risks on the BAF and observe what strategic risks may need to be considered. 3. Consider whether the risk identification routes identified in section 2 above are appropriate. 4. Ratify the proposed risk governance process for future adoption. 			

Board Assurance Framework (BAF) Risk Movement Report

1. Purpose of Report:

This report presents the Board with an overview of the key strategic risks currently affecting Cambridgeshire and Peterborough Integrated Care System (ICS).

The Board Assurance Framework (BAF) provides the Board with an overview of all ICS strategic risks that may affect the Board's cycle of business and the delivery of the ICS strategic aims, in line with current known timeframes and requirements.

The Board Assurance Framework (BAF) enables the Board to:

- Identify and understand the principal risks to achieving its strategic objectives.
- Receive assurance that suitable controls are in place to manage the identified risks.
- Have oversight of what actions are in place, where improvements are needed, and that these are being delivered to agreed timescales.
- Provide an assessment of the risk to achieving the strategic objectives, based on the strength of controls and assurance in place.

2. Summary of Key Strategic Risks

This is the first month of reporting using the revised Board Assurance Framework, therefore the risks currently identified on the BAF are provided as examples for illustration purposes only. It is intended that, further to Board ratification of the risk governance process, the BAF will be populated via risk identification from the following workstreams:

- Escalation of risks from ICS working groups/committees that may affect the strategic aims of the ICS.
- Identification and escalation of risks from System Leaders
- Identification of risks from Partnership Board
- Identification and escalation of risks from the ICS Leadership Team
- Risks arising from the Operational Plan and Development Plan

3. Risk Movement Report

At each reporting cycle, a summary statement of risk movements and highlights will be provided for the previous review period as follows:

No. of Risks on the BAF	Below Threshold (<15)	At Threshold (15)	Above Threshold (>15)
6	0	1	0

- X Risks have been **added** to the BAF in March 21
- X risks have been **removed** from the BAF in Mar 21

- Any increasing or decreasing risks will be identified here in future reports.

4. Extreme Risk Escalation and Assurance

In future BAF reports, an enhanced response for risks with a mitigated risk score of 20+ (extreme) will be provided to inform the Board of the rationale for the risk score and give assurance on mitigating actions. An example is provided below:

Risk No:	01	Risk Description
Current Risk score:	<div style="background-color: red; color: white; text-align: center; padding: 5px;">20</div>	The draft Operating Plan and Development Plan will not be delivered on time and subsequent system planning and programmes of work will be affected.
		Impact:
24/03/2021		Non compliance will result in a reputation impact for the ICS. Inability to effectively plan for system delivery, therefore goals will not be met.
5	4	
Executive Comment:		
The delivery timescales for these plans has fallen behind schedule due to staffing shortages.		
This has now been addressed with additional resource and the revised schedule for delivery has been agreed with System Leaders and regional colleagues for 01.05.21.		

5. Exceptions

There are no exceptions to raise in this report.

6. Risks and Concerns

There are no further risks or concerns to highlight, other than those identified within the report.

7. Recommendations

The Board is asked to:

- Consider:** whether the format of the BAF and summary paper meets the needs of the Board and will provide sufficient oversight, scrutiny and assurance in its current format.
- Review** the current risks on the BAF and observe what strategic risks may need to be considered.
- Consider** whether the risk identification routes identified in section 2 above are appropriate.
- Ratify** the proposed risk governance process for future adoption.

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