Report to Health and Care Executive: 14 March 2019

Agenda item:	5.1
Title:	Risk Assurance
Lead:	Catherine Pollard, Executive Programme Director, System Delivery Unit
Author:	Natalie Clennell, Head of People and Partnerships and Alison Ives, System Governance Manager, System Delivery Unit

Report purpose *(Please mark one in bold)*			
APPROVAL	DECISION	ASSURE	INFORM

Link to STP Priorities *(Please mark all applicable in bold)*			
AT HOME IS BEST	SAFE & EFFECTIVE HOSPITAL CARE, WHEN NEEDED	**WE'RE ONLY SUSTAINABLE TOGETHER**	SUPPORTED DELIVERY

Committees/groups where this has been presented to before *(including date)*
Health and Care executive (HCE) 12 February 2019

Purpose of the paper
This report provides an update on the management and administration of the Sustainability and Transformation Partnership (STP) Board, HCE and Board Sub Committees' risks.

The STP Board is invited to:
The STP Board is asked to: • note the contents of this report; and • approve the proposal for the management and administration of risks.

1. INTRODUCTION / BACKGROUND

It was agreed by HCE in December to refresh the Systems approach to risk management. It was also agreed to review current risks for relevance and validity; and to establish a new process for presenting risks to HCE and the STP Board.

2. BODY OF REPORT

To address both the highest scoring risks and the discrepancy in scoring of the project and programme level risks a meeting was held between Jess Bawden, Director of Corporate Affairs (CCG), Ian Walker, Director of Corporate Affairs (CUH), Natalie Clennell, Head of People and Partnerships (SDU) and Alison Ives, System Governance Manager (SDU). In this meeting It was proposed that a Risk Oversight Group was created to undertake a full refresh of all risks previously presented to HCE and STP Board and to prepare a process for the management of risks in the future.

It was also proposed that risks should be categorised in the following way:

- Strategic risks for HCE and STP Board to manage; and
- Workstream risks.

The following next steps are proposed:

- The creation of a Risk Oversight Group to include membership from the STP Board (risk champions), System Corporate Affairs representatives and SDU representatives to meet monthly;
- The SDU will work with STP Leads and SROs to identify their risks and ensure these are being monitored appropriately at workstream level.
- Prepare for the April HCE meeting a proposal for the STP Board to have a workshop in Spring/Summer to identify strategic risks.

In the future the HCE and the STP Board will only be presented with strategic risks and when absolutely relevant the high-scoring programme risks. In addition, we aim to have workstream risks reported from April HCE.

3. RECOMMENDATIONS

The STP Board is asked to:

- note the contents of this report; and
- approve the proposal for the management and administration of risks.