Report to STP Board: 22 January 2019

Agenda item:	5.1
Title:	Risk Assurance
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Report purpose *(Please mark one in bold)*	

APPROVAL	DECISION	ASSURE	INFORM

Link to STP Priorities *(Please mark all applicable in bold)*			
AT HOME IS BEST	**SAFE & EFFECTIVE HOSPITAL CARE, WHEN NEEDED**	**WE'RE ONLY SUSTAINABLE TOGETHER**	**SUPPORTED DELIVERY**

Committees/groups where this has been presented to before *(including date)*
Not applicable.

Purpose of the paper
This report provides an update and revised plan for the administration of the Sustainability and Transformation Partnership (STP) Risk Register. The revised process on managing risk will ensure there is a clear and robust process within the STP based on the NHS National Patient Safety Agency's Model Risk Matrix.

The STP Board is invited to:
The STP Board is asked to: • note the contents of this report • to approve the proposed next steps for the management and administration of risks.

1. INTRODUCTION / BACKGROUND

At the Health and Care Executive (HCE) meeting in October 2018, it was agreed that a refresh of the risk register, and review process would be valuable to assess the current risks for relevance and validity, and to define a new process for risks being presented to the STP Board.

This paper provides an update on the proposed treatment of the legacy risks reported to the HCE and the STP Board, including from two Board sub-committees – the Care Advisory Group (CAG) and the Financial Planning & Performance Group (FPPG).

2. BODY OF REPORT

In phase one of our review we are undertaking a full refresh of all legacy STP Board, HCE and escalated Sub-Committee (rated 12 and above) risks. Some risks will be closed, and others mitigated further. This will enable us to ensure only the most relevant risks are presented to HCE and STP Board moving forward.

All existing risks which remain on the register following phase one of the review and any new risks identified in the future will all be categorised as follows;

1) Short Term
    a. DTOC;
    b. A&E;
    c. Finance (Inc., capital and estates);
2) Medium Term
    a. South Provider Alliance;
    b. North Provider Alliance;
3) Other Matters
    a. Narrative and Stakeholder Plan;
    b. Longer Term Models;
    c. Digital;
    d. Workforce and OD; and
    e. Clinical Leadership.

In phase two, we will develop an approach for identifying risks for the System, which may include:

1) Appointing STP Board risk champions – perhaps from amongst the Chairs;
2) Working with the responsible leads to identify risks to their programmes, ensure these are being monitored appropriately at programme level and confirm the scoring-based criteria for escalation to HCE and STP Board;
3) Undertaking a STP Board workshop in Spring/Summer 2019, to generate the cross-cutting/fundamental risks to the System, in line with our strategic objectives.

This means that HCE and the STP Board will only be presented with cross-cutting fundamental high-scoring System risks from sub-groups.

3. RECOMMENDATIONS

The STP Board is asked to:
- note the contents of this report
- to approve the proposed next steps for the management and administration of risks.

11 January 2018